

**Question 1 from the last problem set**Algorithm 1:*Increment(a):*Input:  $a$ , a positive integer in SLM-representation.Side effect:  $a$  is incremented by 1.

1.  $j \leftarrow 0; c \leftarrow 0$
2. do {
3.      $a_j \leftarrow a_j + c$
4.     if ( $a_j \geq \beta$ ) then {
5.          $c \leftarrow 1; a_j \leftarrow a_j - \beta$  }
6.     else
7.          $c \leftarrow 0;$
8.      $j \leftarrow j + 1;$  }
9. while ( $c = 1$  and  $j < n$ );
10. if  $c = 1$  then  $a_n \leftarrow 1;$

SLM-representation:The input is of the form:  $(s, n, (a_0, \dots, a_{n-1}))$  where  $a_i$  are  $\beta$ -base digits.We assume assignment and condition checks take time in  $[\tau, T]$ . Let  $t$  be the computing time of algorithm 1 with  $k$  iterations, then:

$$2\tau + 4k\tau + \tau \leq t \leq 2T + 6kT + 2T$$

This inequality shows that:  $t \sim k$ , i.e. the computing time is co-dominant with the number of times the loop is executed. $t^-(n) \sim 1$ :Clearly  $1 \leq t^-(n)$ . In case  $a = \beta^{n-1} = (1, 0, 0, \dots, 0)_\beta$  we have one loop body execution and so  $k = 1$ , hence  $t^-(n) \leq 1$ . $t^+(n) \sim n$ :Clearly  $t^+(n) \leq n$  since the loop is executed at most  $n$  times. For  $a = \beta^n - 1 = (1, 1, \dots, 1)_\beta$  we have  $n$  loop executions, so  $n \leq t^+(n)$ . $t^*(n) \sim 1$ :Clearly  $1 \leq t^*(n)$ . The other direction:For any input at least the loop body is executed at least once. For any  $1 \leq k \leq n - 1$  we have  $k$  additional loop executions, if  $a_0 = \dots = a_{k-1} = \beta - 1$ , and this occurs with probability  $\frac{1}{\beta} \cdot \dots \cdot \frac{1}{\beta} = \frac{1}{\beta^k}$ . Therefore the expected number of executions is

$$\text{at most } 1 + \frac{1}{\beta} + \frac{2}{\beta^2} + \frac{3}{\beta^3} + \dots + \frac{n}{\beta^n} < 1 + S \text{ where } S = \sum_{i=1}^{\infty} \frac{i}{\beta^i}. \text{ Observe that } \frac{S}{\beta} = \frac{1}{\beta^2} + \frac{2}{\beta^3} + \dots \Rightarrow S - \frac{S}{\beta} = \frac{1}{\beta} + \frac{1}{\beta^2} + \dots =$$

$$= \frac{1}{\beta} \cdot \frac{1}{1 - \frac{1}{\beta}} = \frac{1}{\beta} \cdot \frac{\beta}{\beta - 1} = \frac{1}{\beta - 1} \Rightarrow S = \dots = \frac{\beta}{(\beta - 1)^2}$$

If  $\beta = 2$  then we have  $< 3$  loop executions. If  $\beta \geq 3$  we have  $< 2$  loop executions, on average. Therefore the average computing time is bounded by a constant, hence  $t^*(n) \leq 1$ .

## The Class NP – Nondeterministic Polynomial Time

### Hamiltonian path:

The Hamiltonian path problem:  $HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a graph and contains a Hamiltonian path from } s \text{ to } t\}$  where a Hamiltonian path is a path that traverses each node of the graph exactly once.

The brute force method has to look at exponentially many paths. But, given a path that is a **certificate**, i.e. a Hamiltonian path, it can be verified as such in linear time.

### Composites:

$COMPOSITES = \{n \in \mathbb{Z}^+ \mid n = p \cdot q, p, q \neq 1\}$ . Since the inputs  $n$  are not given in unary representation, checking in brute force all possible divisors is exponential. However, it can be verified in polynomial time: given a divisor as a certificate.

Actually, for this problem there was discovered a poly-time decider.

### Verifier:

A verifier  $V$  for language  $A \subset \Sigma^*$  is an algorithm such that  $A = \{w \mid V \text{ accepts } \langle w, c \rangle \text{ for some string } c\}$ . Here  $c$  is the certificate.

Definition of NP:  $NP = \{L \subset \Sigma^* \mid L \text{ has a polytime verifier}\}$ .

### **Theorem**

$NP$  is the class of all languages that can be decided by NTM in poly-time.

### Another definition:

$NTIME(t(n)) = \{L \subset \Sigma^* \mid L \text{ is decided by NTM in time } t(n)\}$

And then:  $NP = \bigcup_{k=0}^{\infty} NTIME(n^k)$

### Clique:

$Clique = \{\langle G, k \rangle \mid G \text{ is a graph that has a } k\text{-clique}\}$ .

It is clear that  $P \subset NP$ . The question is:  $NP \subset P?$  – Probably not.

### **Polynomial-time reduction**

Let  $f: \Sigma^* \rightarrow \Sigma^*$  such that  $w \in A \Leftrightarrow f(w) \in B$  and  $f$  runs in polynomial time, then  $A \leq_p B$ .

- $B \in P \Rightarrow A \in P$
- $A \notin P \Rightarrow B \notin P$

### Example: $3SAT \leq_p CLIQUE$

3SAT formulae are of the form  $(x_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee x_2 \vee x_1) \wedge \dots$

The reduction: we construct a graph that has a node for each literal. We connect all pairs of nodes **except** nodes that appear in the same clause, or nodes that represent negating literals (e.g.  $x_1$  and  $\bar{x}_1$ ).

The resulting graph will have a  $k$ -clique  $\Leftrightarrow$  the formula with  $k$  clauses is satisfiable.